

**Southern Utah University
Office of Grants & Sponsored Research**

**JUSTIFICATION FOR WAIVER OR ADJUSTMENT OF
INDIRECT COSTS TO BE COLLECTED BY SUU FROM AWARDING AGENCIES
(See Regent's Policies on following page).**

Granting Agency: _____ Date: _____

Principal Investigator(s): _____

1. Amount of Indirect Costs to be waived:
 - A. Indirect costs negotiated with cognizant federal agency:
(43% x salary/wages and benefits) = \$ _____
 - B. Amount of indirect costs allowed by the granting agency for
This proposal:
(_____ % x _____) = \$ _____
(attach documentation)
 - C. Amount of indirect charges to be waived by SUU = \$ _____

2. Voluntary waiver of indirect costs recommended by:

Principal Investigator

Department Chair

3. (circle reason)
 - A. Cooperative Agreement
 - B. Indirect Costs restricted or disallowed by funding agency (attach supporting documentation)
 - C. Gift or grant under \$10,000 to support graduate student and/or supplement existing projects funded through SUU funds
 - D. NO Salary or Wages requested.
 - E. Fellowship, traineeship, scholarship as defined in Sec A.3 of OMB Circular A-21
 - F. Reasons for waiver of Indirect costs other than those identified in Item 3.

 - G. Is the University required to perform a service or report as a result of the award? _____
 - H. Does the grant provide support for undergraduate or graduate students? _____
 - I. Is the University ___ or Funding Agency ___ the primary beneficiary of the proposed program, or _____ is the program mutually funded? (Check one)

4. Authorized signature(s)

Date: _____
Date: _____

Further Comments: _____

References:

Regent's Policy R 532 Acceptance and Approval of Contracts & Grants

<http://www.utahsbr.edu/policy/R532.pdf>

Approval of Training grants

5.1. Research Contracts with No Overhead Reimbursement Costs: All contracts for research that bear no overhead reimbursement cost are to be justified in writing and have recorded in the research administration office of the institution a finding that the project contributed to the education program and role assignment of the institution.

5.2. Contracts and Grants for Less than Finalized Overhead Rate: Contracts of grants for less than the finalized overhead rate should be justified on the basis of educational value and approved by a responsible officer of the institution or a committee designated by the president for such purpose.

Acceptance of research contracts, training grants, or contracts for other sponsored programs shall be in conformity with this policy.

Regent's Policy R537 Reimbursed Overhead on State and Local Government

Contracts

<http://www.utahsbr.edu/policy/R537.pdf>

3.1 Ten percent overhead rate: The institutions of higher education shall charge, as partial reimbursement of costs incurred, a ten percent overhead rate on all contracts with state and local government agencies funded from non-federal sources, unless an overhead charge is expressly prohibited in the RFP issued by the state or local government agency.

3.2 Flow through federal funds: Funds received by state and local government agencies for federal grants on work contracted to the universities and colleges flow through to those institutions and are charged overhead at the institution's usual overhead rate for federal contracts.