



SOUTHERN UTAH UNIVERSITY
Policies and Procedures

Policy # 6.9
Date Approved: 06/03/94
Date Amended: 07/06/11
Reviewed w/no Changes:
Office of Responsibility: Prov
Page 1 of 11

SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

I. PURPOSE:

- A. To outline the University's policy toward payment of supplemental and overload compensation above base salary to faculty and academic administrators with faculty rank from funds administered and paid by SUU.
- B. To outline the University's policy on employment responsibilities and restrictions on outside remunerative employment activities (consultation).

II. REFERENCE:

- Board of Regents Policy
 - R485: Faculty Workload Guidelines
- Southern Utah Policy
 - Policy 6.27: Faculty Workload
 - Policy 6.0: Definition of Faculty
 - Policy 6.28: Faculty Professional Responsibilities
 - Policy 6.45: Graduate Faculty

III. DEFINITIONS:

- A. Full time - An employment relationship, applicable to faculty and academic administrators with faculty rank which requires a commitment of 100% of the individual's normal and expected working time and effort during Fall and Spring semesters, which is typically considered a nine-month period of time. Full-time employment may also include contracts periods such as Spring and Summer semesters, or Summer and Fall semesters. Full-time employment at SUU is generally inconsistent with the acceptance of any other regular employment that interferes with the discharge of the person's full time service obligations to the University.
- B. Base salary - The total compensation approved in advance as the amount payable from any funds administered by the University for normal and expected full-time effort. Total compensation is stated in the employee's Statement of Salary.¹

¹A nine-month period is considered as the starting point for base salary (100% Full Time Equivalent Faculty - FTEF) for all faculty and department chairs. For academic



SOUTHERN UTAH UNIVERSITY
Policies and Procedures

Policy # 6.9
Date Approved: 06/03/94
Date Amended: 07/06/11
Reviewed w/no Changes:
Office of Responsibility: Prov
Page 2 of 11

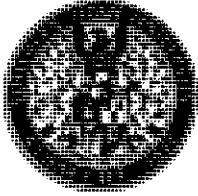
SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

administrators with faculty rank, an eleven-month period is considered as the starting point for base salary (100% FTE).

- C. Overload compensation – Is defined as the amount paid for an employment condition in which the total time devoted by an employee for all assignments exceeds that which is expected during the contract period.
- D. Supplemental compensation – Is defined as the amount of other pay to an employee during a time when not under contract.
- E. ICH is the abbreviation for Instructional Credit Hour: (See Policy 6.27 Attachment for details)
- F. Funds administered by the University: Funds administered by the University include general funds, uniform school funds, mineral lease funds, grant and contract funds, restricted and unrestricted funds, auxiliary funds, plant funds, SUUSA funds, development funds, and any other funds under the control of the University that are available for payment of compensation.
- G. Sponsored research contract or grant: A contractual arrangement with a federal, state, private, or other non-University agency under which funds are made available and are administered by the University for a specified research or training project or program.
- H. Consultation agreement - An independent contractual agreement entered into by a University employee, acting outside the course and scope of employment and solely in a personal capacity, for the performance of consulting services.

IV. POLICY

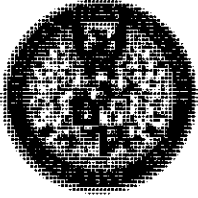
- A. Supplemental and Overload Compensation Policy
 - 1. Unless specific authorization to the contrary is obtained, as provided herein, the total salary payable during the fiscal year to an exempt employee may not exceed that employee's base salary annualized over a twelve-month period.
 - 2. Supplemental or overload compensation payable from funds administered by the University is permitted only under the conditions specified herein. Any such payments made for any reason, in violation



SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

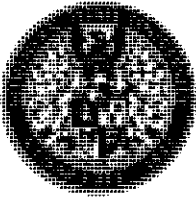
of this policy and procedure, constitute an indebtedness from the recipient to the University.

3. Salary for services under separately designated assignments (e.g., paid through a grant) must be paid in proportion to the effort expended on each assignment and at the same rate for each assignment, unless approved in writing by the awarding agency.
4. When a faculty member agrees to teach a course outside of his/her own department during a regular teaching semester, that course will be scheduled as part of the faculty member's normal teaching load and no supplemental or overload compensation will be paid unless prior arrangement for overload or supplemental pay has been made between the respective department chair, dean and Provost's Office.
5. For non-teaching or teaching services performed on a separate, supplemental work assignment during the interval following the end of a normal full-time work assignment and before the commencement of another normal full-time work assignment (e.g., during a nonworking semester for faculty members or department chairs on nine-month appointments at 100% FTE, or during the nonworking month for academic administrators with academic rank on eleven-month appointments at 100% FTE), supplemental compensation may be paid from funds administered by the University, subject to the following limitations and conditions offered as examples:
 - a. For non-teaching assignments, the maximum supplemental compensation payable to a faculty member or department chair on a nine-month appointment at 100% FTE, for services performed on a one-month, two-month, or three-month basis during summer session, is, respectively, one-ninth, two-ninths, or three-ninths of the individual's nine-month base salary.
 - b. For non-teaching assignments, the maximum supplemental compensation payable to an academic administrator with faculty rank on an eleven-month appointment at 100% FTE for services performed on an additional one-month basis is one-eleventh of the faculty member's eleven-month base salary.



SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

6. Overload assignments and the payment of overload compensation for teaching are authorized on a nonpermanent basis if the following conditions are met:
 - a. Faculty members may engage in research, sponsored projects, training grants, extra teaching^a, etc., during the year in which they also fulfill the requirements of their regular 9-month faculty appointment at the University. The overload compensation is based on the prevailing institutional rate per ICH up to a maximum of six ICH per semester during their regular appointment. If any portion of the overload is to be paid from federal funds, then written permission for that overload payment must be obtained in advance from the agency that granted or authorized the use of the federal funds.
 - b. In addition to scholarly and service roles, a full-time faculty member's teaching assignment at SUU is usually considered as 24 ICH of scheduled courses per 9-month academic year and constitutes 100% of the individual's normal and expected working time and effort. Academic administrators with teaching responsibilities have credit hour assignments which are based on their particular FTE percentage of 24 credit hours devoted to teaching (e.g. a department chair with a 50% FTE teaching assignment is responsible for 12 credit hours of scheduled lecture courses in the nine-month academic year).
 - c. Overload compensation is granted only when a faculty member or academic administrator teaches in excess of their 100% normal and expected teaching assignment. Such pay is determined at a fixed rate per credit hour for all overload compensation for all employees.
7. All additional supplemental or overload compensation will be paid through the payroll system, subject to applicable withholding and deductions.
8. Approval requirements for supplemental or overload compensation for faculty members are as follows:



**SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND
CONSULTATION**

- a. Approval chain for work related to the faculty member's department or program:
 - Chair or program director,
 - Dean or executive of unit,
 - Provost's Office

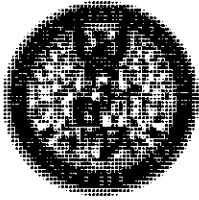
- b. Approval chain for work outside the faculty member's department or program:
 - Chair or program director of work area or unit (initiator)
 - Chair or program director of faculty member's department or program
 - Dean or executive of work area or unit
 - Dean or executive of faculty member's department or program
 - Provost's Office

B. Consultation Policy and Other Employment

1. All personnel of the University holding full-time positions shall give full service to the work of the University during scheduled work periods. Any non-university consultation or employment must not interfere with the discharge of the person's full time service obligations to the University or must not involve a conflict of interest prohibited by the Utah Public Officers' and Employees' Ethics Act, Utah Code 67-16-1 through 67-16-14. .

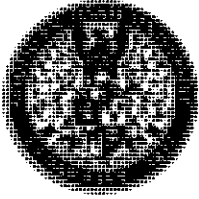
- a. Faculty members are encouraged to be professionally engaged with their respective areas of expertise.

- b. In any case in which present or contemplated outside consulting or employment is believed to involve a question as to a potential conflict of interest, the concerned individual should seek advice from the administration and, where appropriate, from University counsel's office, by requesting an opinion through the Provost.



SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

2. The University approves of public service activities that are compatible with full performance of University duties and consistent with University policies and procedures.
3. Full time faculty members may accept employment for, and may perform, any teaching, instructional, or research services for other academic institutions during teaching semesters with the knowledge and written approval of the cognizant department chair, dean and provost. Compensated or uncompensated participation in an occasional short-term conference, seminar, or symposium or the delivery of a scholarly paper or public address at a professional meeting or academic gathering under the auspices of an academic institution, does not violate this policy.
4. Full-time faculty members may maintain off-campus business or professional telephone listings in their own name, or establish off-campus offices for non-university related professional or business activities, after informing, in writing, department chair and dean or appropriate supervisor.
5. Consultation and other services to persons, firms, institutions, and agencies outside the University may be carried on by faculty members as long as the performance of such service obligations do not interfere with the discharge of the person's full time service obligations to the University.
 - a. The individual engaged in consultation activities must arrange in advance, with the approval of the responsible department chair, director, or other line officer, for scheduling of classes or other work assignments missed as the result of consultation activities.
 - b. If approval has been given allowing a faculty member's office or laboratory to be used for consultation services, the faculty member shall not use University staff members, i.e., secretaries or student aids, as support personnel for his or her consultation activities.
6. University employees engaged in consultation services authorized by this policy must assume the following responsibilities to assure



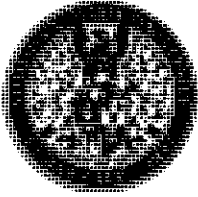
**SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND
CONSULTATION**

compliance with this policy and with applicable legal requirements.

- a. The University employee must advise the person, firm, or agency for whom such consultation services are to be performed that (1) the employee, in his/her role as a consultant, is acting solely as an independent contractor, and not as an agent or employee, or under the sponsorship, auspices, or control of SUU, and (2) the University assumes no responsibility whatever, express or implied, for the actions or omissions of the employee in his/her role as a consultant.
 - b. The University employee must personally assure that the conditions and limitations upon external consulting activities, as specified in this policy, are fully satisfied, and must be prepared to document that fact if called upon to do so by a responsible University officer.
 - c. Before entering into a consultation contract, the University employee must personally determine that the contemplated consultation activities and arrangements will not involve a conflict of interest with the individual's duties to the University that may be in violation of the Public Officers' and Employees' Ethics Act, Utah Code 67-16-1 et. seq.
7. Teaching and other activities coordinated within the auspices of the University, including the School of Continuing Education and Professional Studies and other University approved programs and activities, are not considered outside activities. Members of the faculty who are able to render full employment services to the University, and at the same time serve the interests of the people of the state by service coordinated by e continuing education and other special programs and activities, are encouraged to do so, within the limits of SUU Policy 6.27 Faculty Workload.

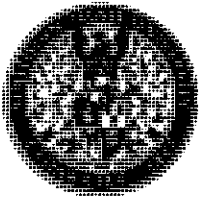
V. Application--Professional and Executive Staff Personnel

- A. PURPOSE: The purpose of this section is to define the applicability of this policy to professional and executive staff personnel who teach.



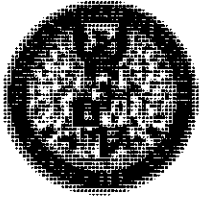
SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

- B. OVERLOAD STATUS:** This term is defined as an employment condition in which the total time devoted by an employee for all assignments held concurrently exceeds the 100% effort recorded or inherent in the employee's regular exempt appointment or assignment.
1. For professional and executive staff personnel who teach during the normal 8 a.m. to 5 p.m. work period, Monday through Friday, overload status may be accorded and overload compensation may be authorized by the appropriate supervisors. When such overload status is authorized, it is expected that one of the following conditions will apply:
 - a. the professional or executive staff member will use a lunch period for instruction, or
 - b. the professional or executive staff member will take annual leave time, or
 - c. the professional or executive staff member will put in added time beyond the 8 a.m. to 5 p.m. work schedule in compensation therefore.
 2. For professional and executive staff personnel who teach outside the normal work period (including early mornings, evenings, or weekends), overload status may be accorded. Overload compensation may be authorized for staff and executive personnel who teach in these time periods.
 3. If the teaching responsibility is a regular part of the professional or executive staff member's assignment during the normal 8 a.m. to 5 p.m. work period, Monday through Friday, no overload status will be accorded based on one (or possibly both) of the following conditions applying:
 - a. The teaching responsibility is an assigned portion of the employees' regular appointment which has been agreed upon by the appropriate supervisors with benefits accruing to the affected department through the development of the professional or executive staff member who teaches, or



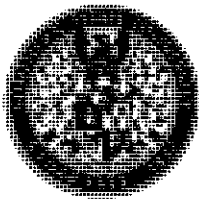
SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

- b. An appropriate portion of the professional or executive staff member's salary will be paid by the department(s) for whom the professional or executive staff member is teaching.
- C. **APPROVAL:** Professional and executive staff members who teach must receive approval to do so from the following, regardless of whether they obtain release time or overload status:
1. It is the responsibility of the appropriate college dean and provost to obtain preliminary approval from the appropriate supervisor for such teaching assignments before discussion occurs with the particular professional or executive staff member.
 2. **Academic Approval** - The appropriate department chair, dean or executive, and the Provost must approve. Approval must occur by signature on a supplemental contract if release time or overload compensation is granted.
 3. **Non-Academic Approval** - The immediate supervisors, up to and including the appropriate vice-president, must approve the teaching assignment for the professional or executive staff member. (For vice presidents, approval of the president must be obtained.) Approval must occur by signature on a supplemental contract if release time or overload compensation is granted.
- VI. **Application--Classified Staff Personnel**
- A. **PURPOSE:** The purpose of this section is to define the applicability of this policy to classified staff personnel who teach.
- B. **OVERLOAD STATUS:** This term is defined as a teaching employment condition in which the total time devoted by an employee for all assignments held concurrently exceeds the 100% effort recorded or inherent in the employee's regular appointment or assignment.
1. For classified staff personnel who teach during their normal forty-hour work period, Monday through Friday, overload status may be accorded and overload compensation may be authorized by the appropriate supervisors. When such overload status is authorized, it is expected that one of the following conditions will apply:



SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

- a. the classified staff member will use a lunch period or similar break time for instruction, or
 - b. the classified staff member will take annual or other compensatory leave time, or
 - c. the classified staff member will put in added time beyond the normal work schedule to restore their weekly effort to forty hours.
2. For classified staff personnel who teach outside the normal work period (including early mornings, evenings, or weekends), overload status may be accorded. Overload compensation may be authorized for classified staff who teach in these time periods.
 3. If the teaching responsibility is a regular part of the classified staff member's assignment during the normal forty hour work period, Monday through Friday, no overload status will be accorded based on one (or possibly both) of the following conditions applying:
 - a. The teaching responsibility is an assigned portion of the employees' regular appointment which has been agreed upon by the appropriate supervisors with benefits accruing to the affected department through the development of the classified staff member who teaches, or
 - b. An appropriate portion of the classified staff member's salary will be paid by the department(s) for whom the classified staff member is teaching.
- C. **APPROVAL:** Classified staff members who teach must receive approval to do so from the following, regardless of whether they obtain release time or overload status:
1. It is the responsibility of the appropriate college dean and provost to obtain preliminary approval for such teaching assignments before discussion occurs with the particular classified staff member.



SOUTHERN UTAH UNIVERSITY
Policies and Procedures

Policy # 6.9
Date Approved: 06/03/94
Date Amended: 07/06/11
Reviewed w/no Changes:
Office of Responsibility: Prov
Page 11 of 11

SUBJECT: SUPPLEMENTAL AND OVERLOAD COMPENSATION AND CONSULTATION

2. Academic Approval - The appropriate department chair, dean or executive, and the Provost must approve. Approval must occur by signature on a supplemental contract if release time or overload compensation is granted.
3. Non-Academic Approval - The immediate supervisors, up to and including the appropriate vice-president, must approve the teaching assignment for the classified staff member. Approval must occur by signature on a supplemental contract if release time or overload compensation is granted.

FORMER POLICY
DO NOT USE