

Chart 1: Is an Activity Research Involving Human Subjects Covered by 45 CFR part 46?

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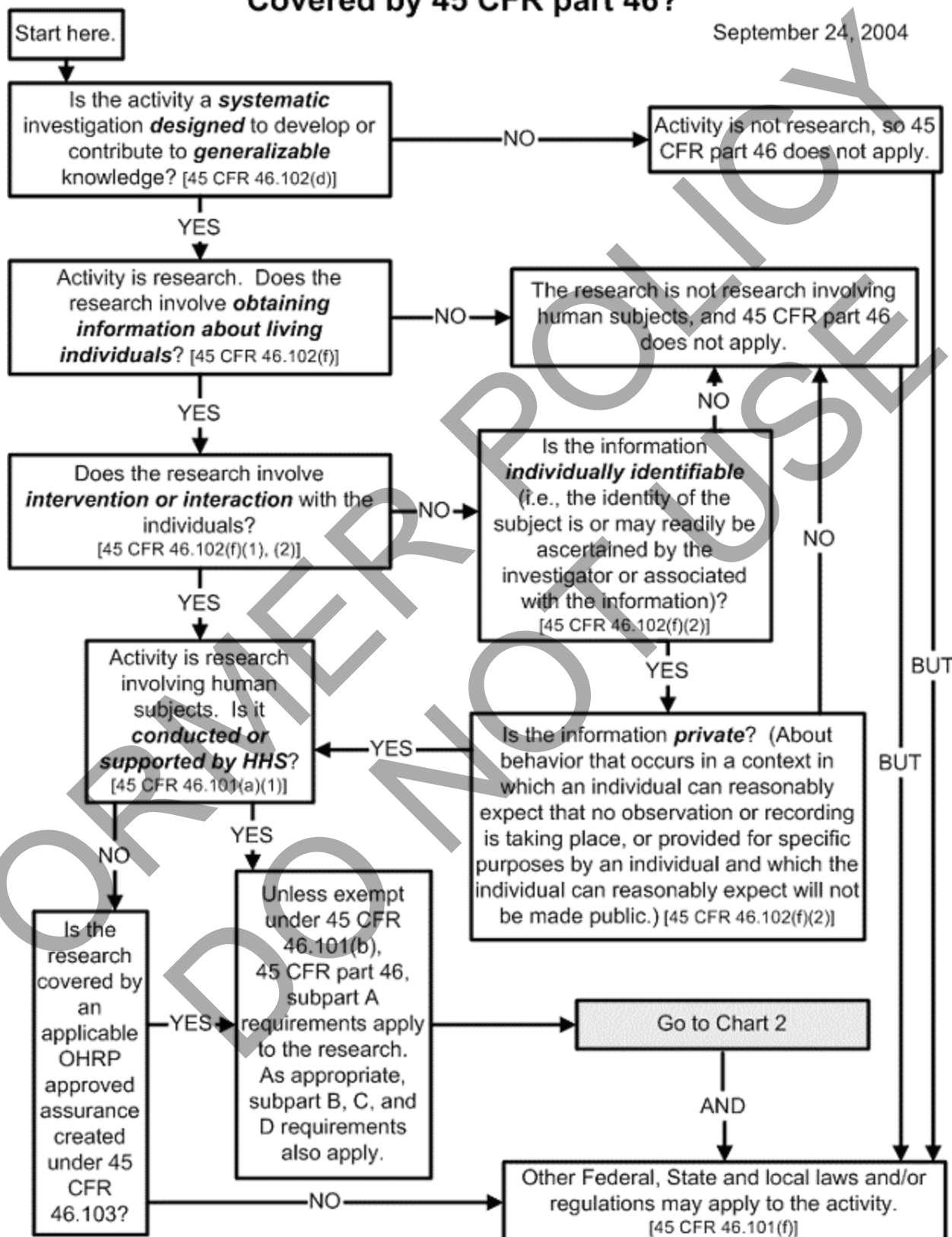


Chart 2: Is the Research Involving Human Subjects Eligible for Exemption Under 45 CFR 46.101(b)?

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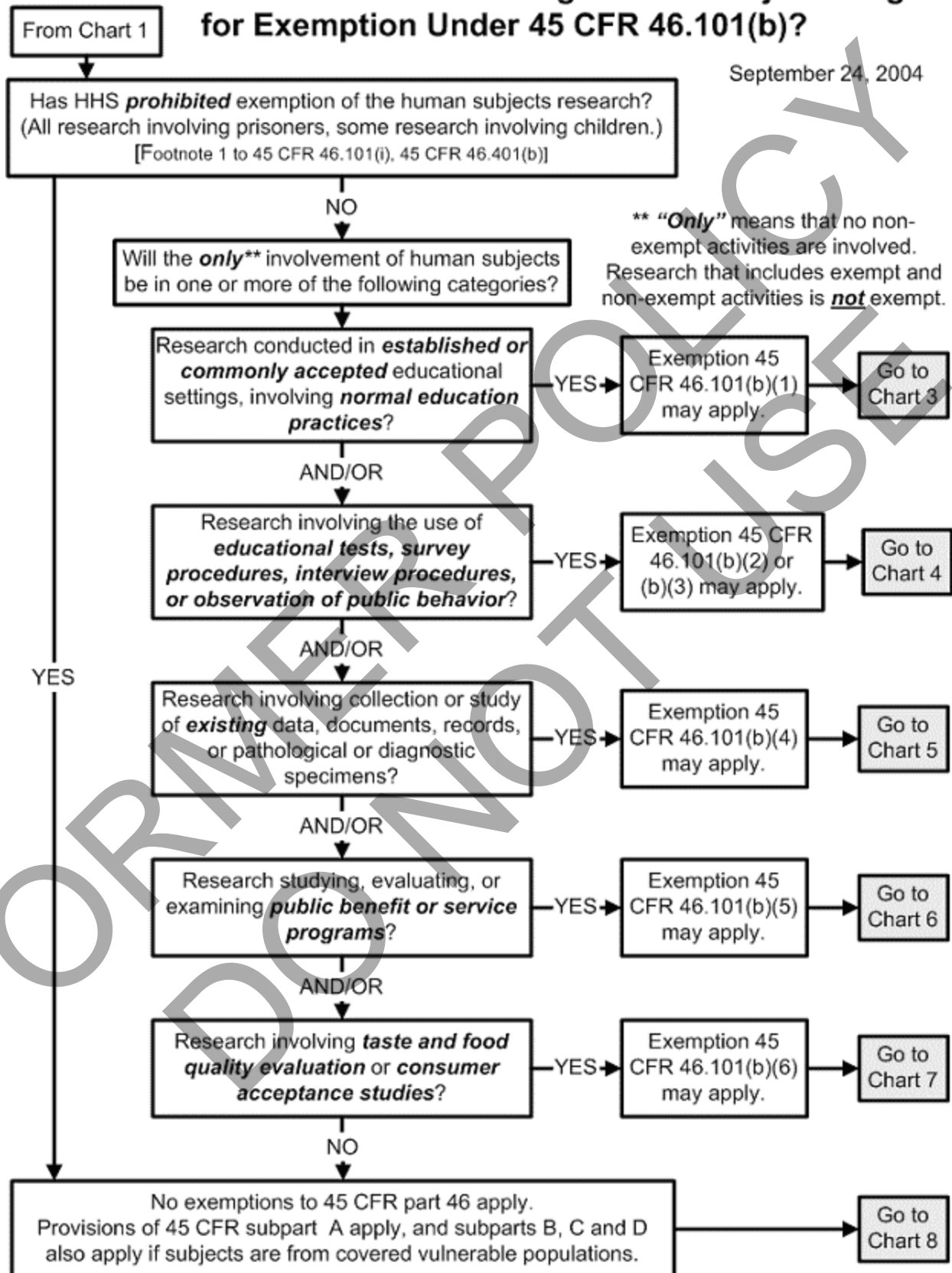


Chart 3: Does Exemption 45 CFR 46.101(b)(1) (for Educational Settings) Apply?

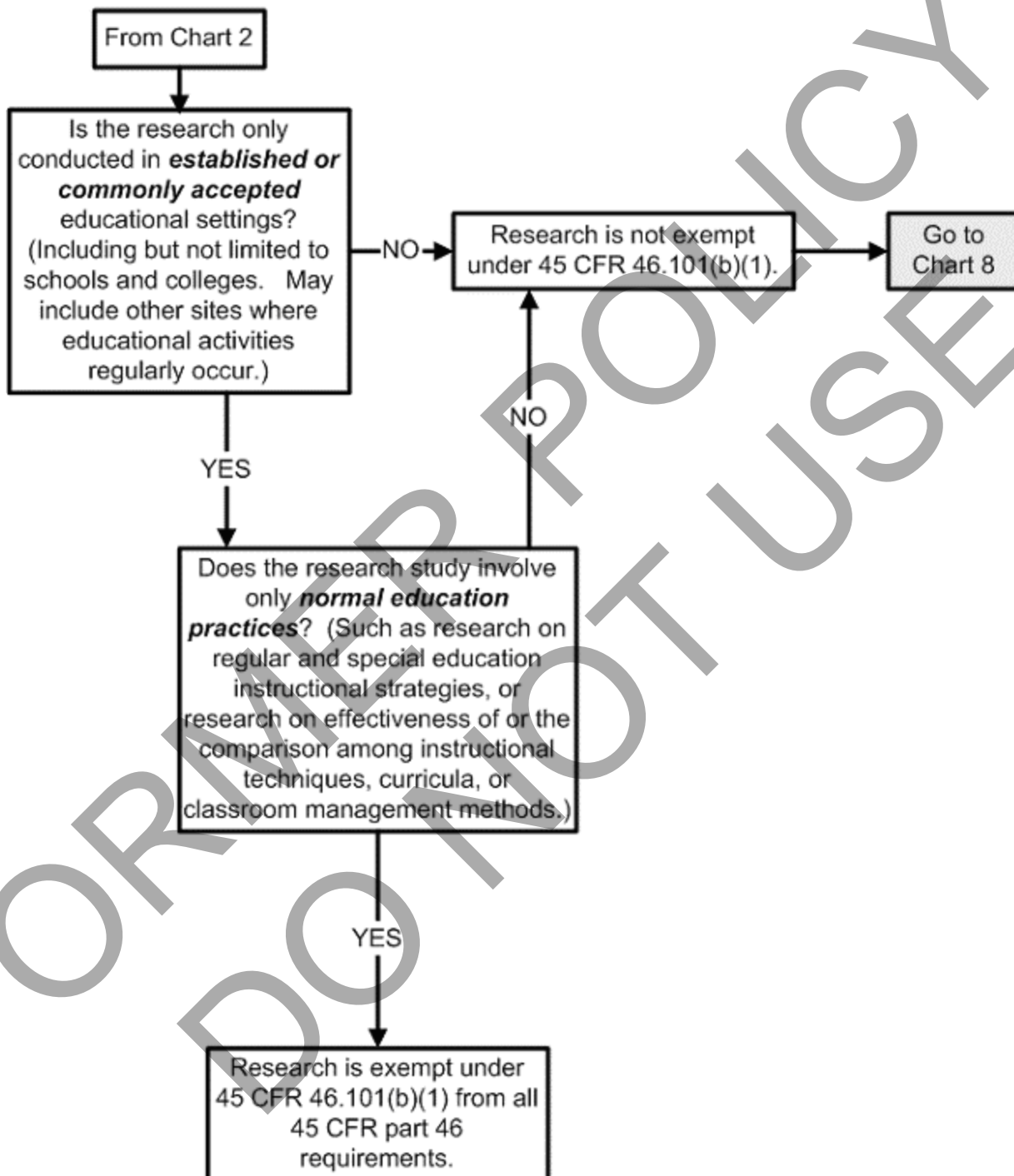
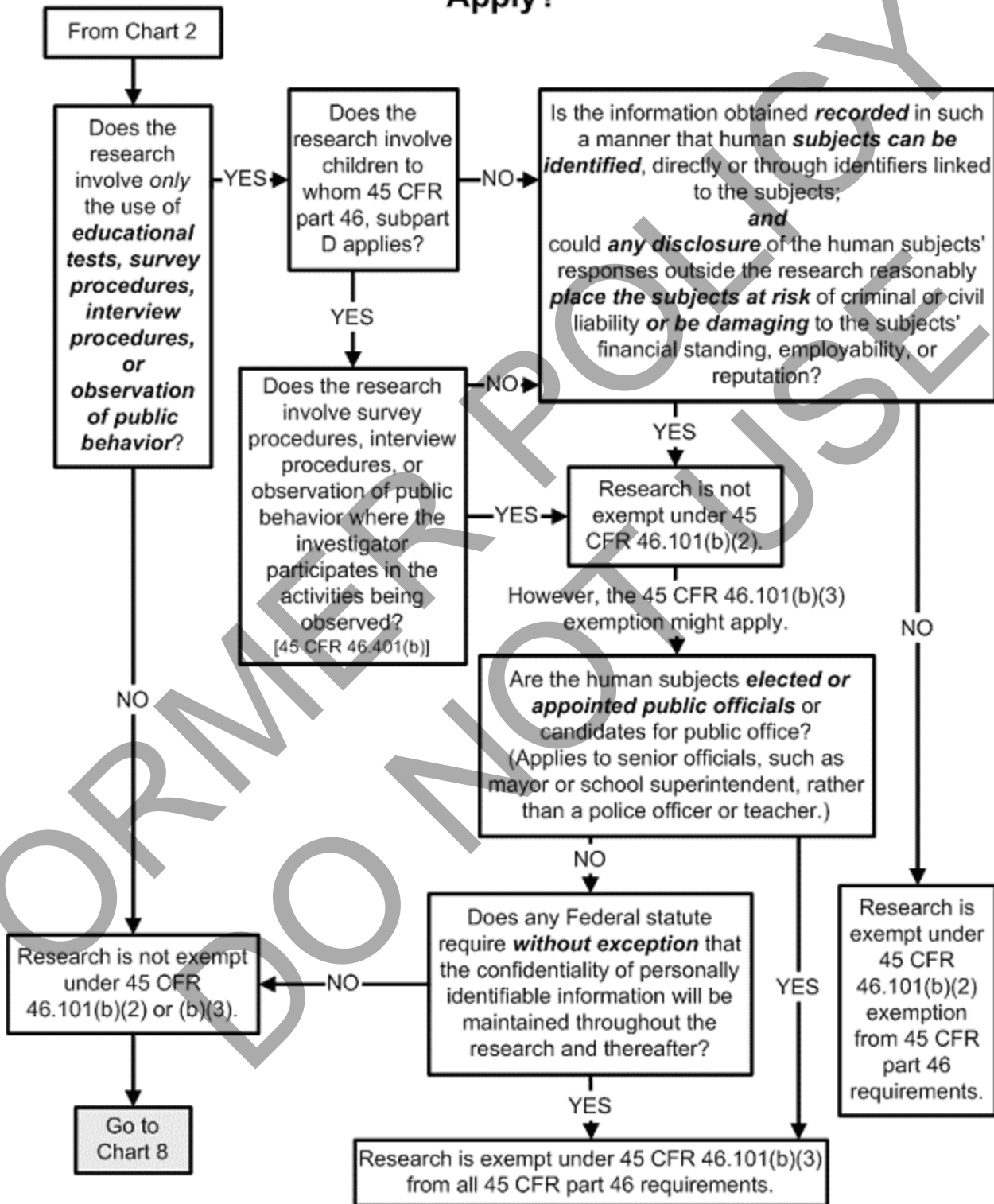
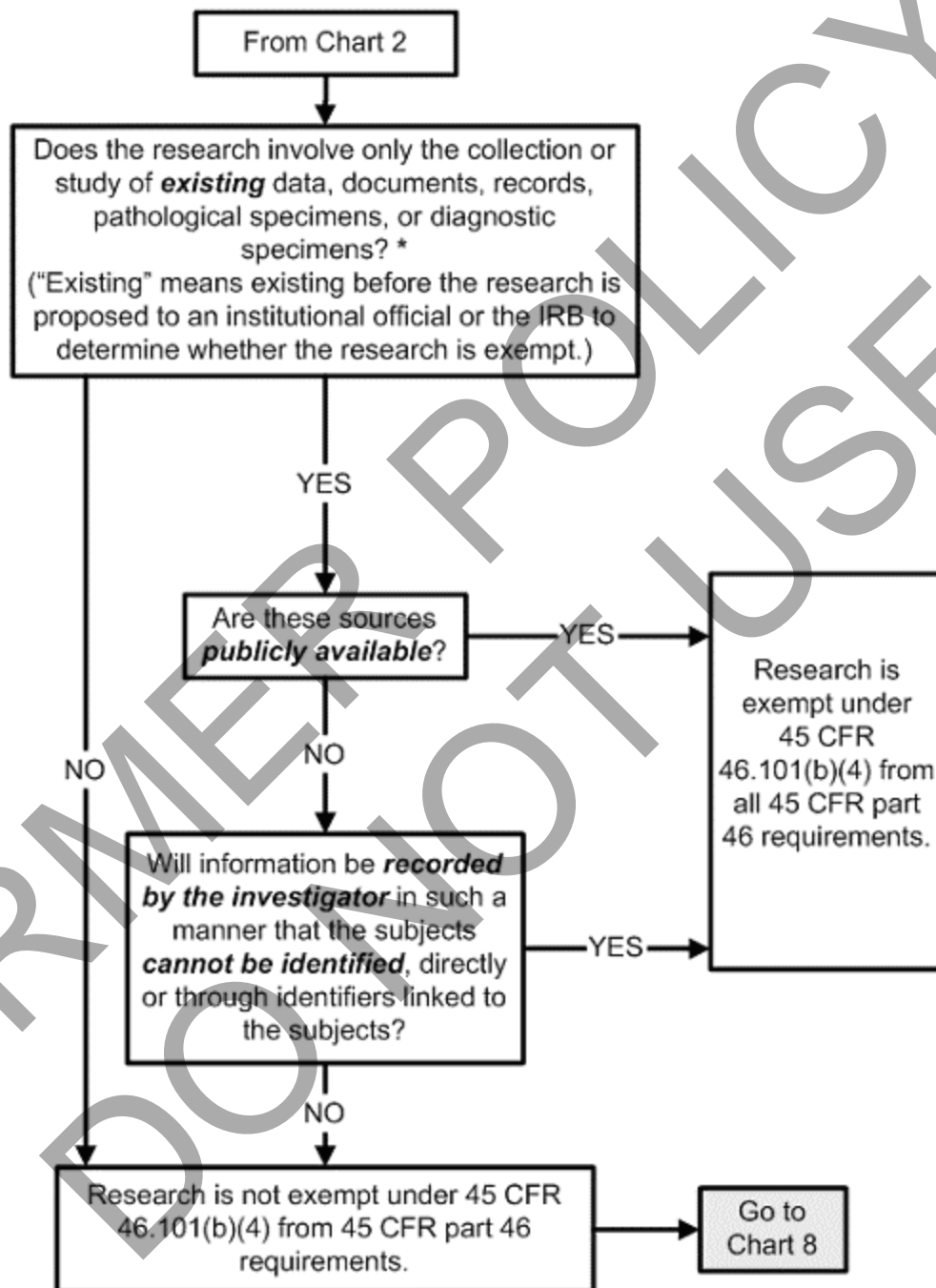


Chart 4: Does Exemption 45 CFR 46.101(b)(2) or (b)(3) (for Tests, Surveys, Interviews, Public Behavior Observation) Apply?



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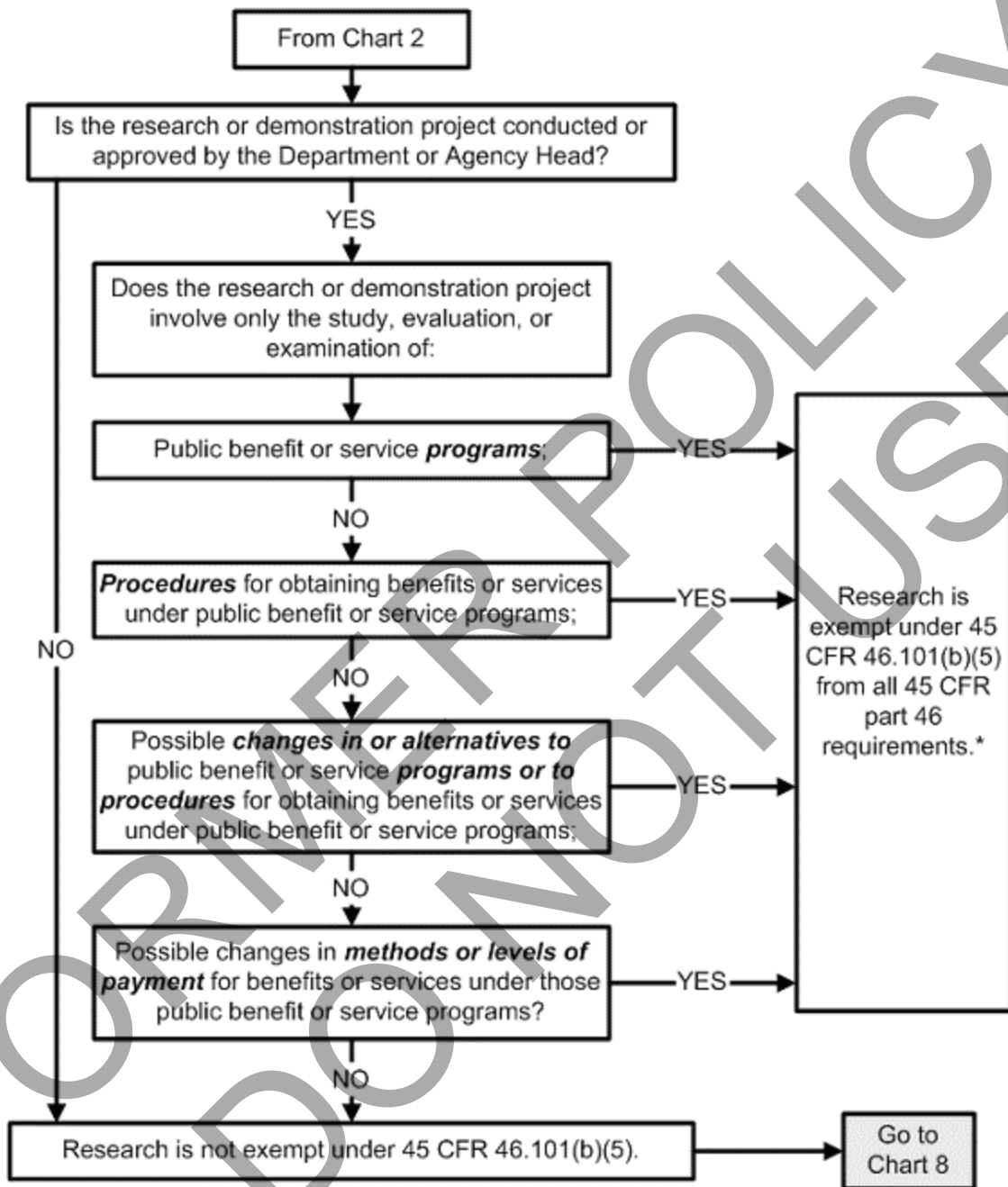
Chart 5: Does Exemption 45 CFR 46.101(b)(4) (for Existing Data Documents and Specimens) Apply?



* Note: See OHRP guidance on research use of stored data or tissues and on stem cells at <http://www.hhs.gov/ohrp/policy/index.html#tissues> and #stem, and on coded data or specimens at #coded for further information on those topics.

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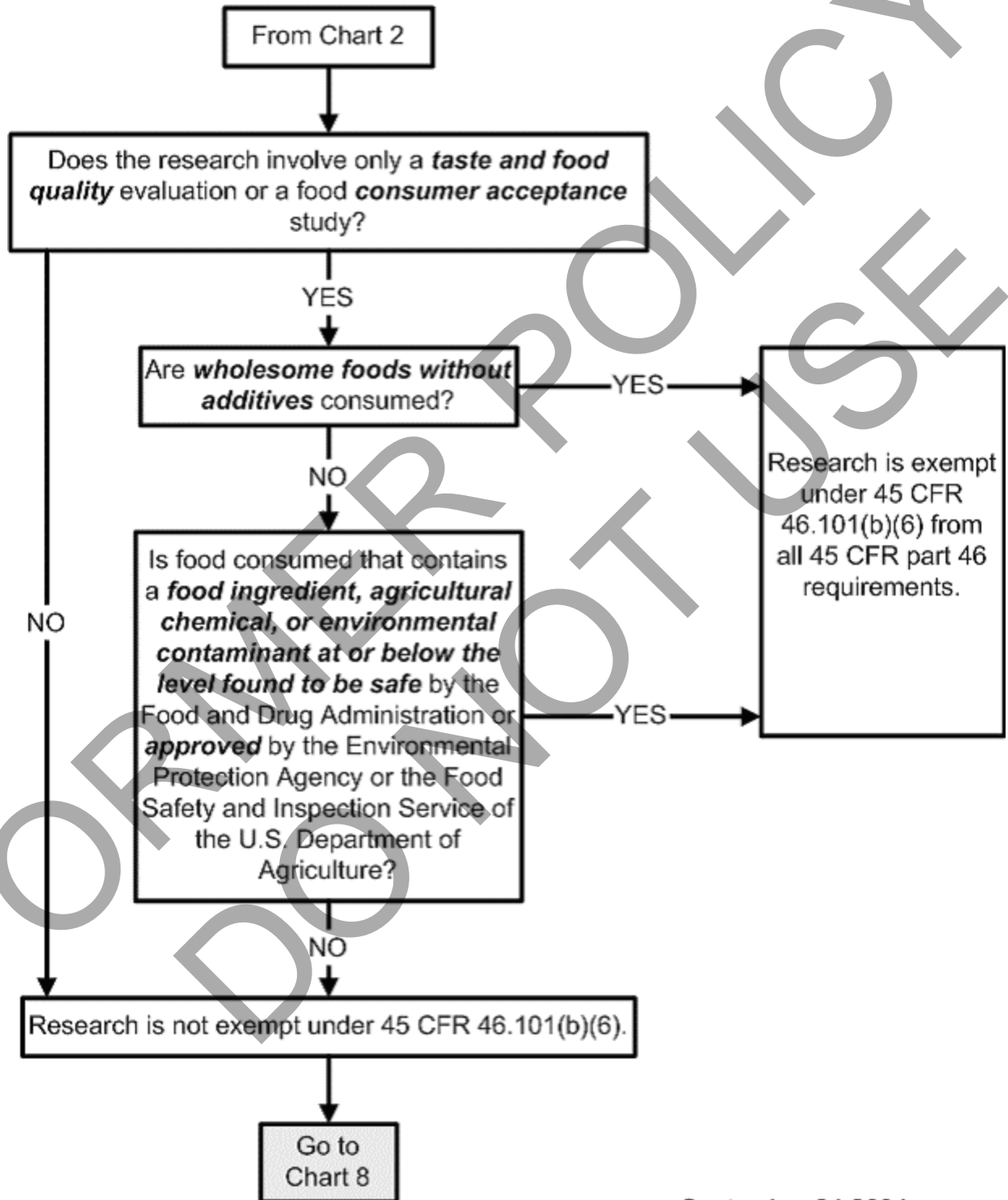
Chart 6: Does Exemption 45 CFR 46.101(b)(5) (for Public Benefit or Service Programs) Apply?



* Note: See OHRP guidance on exemptions at <http://www.hhs.gov/ohrp/policy/index.html#exempt> for further description of requirements for this exemption.

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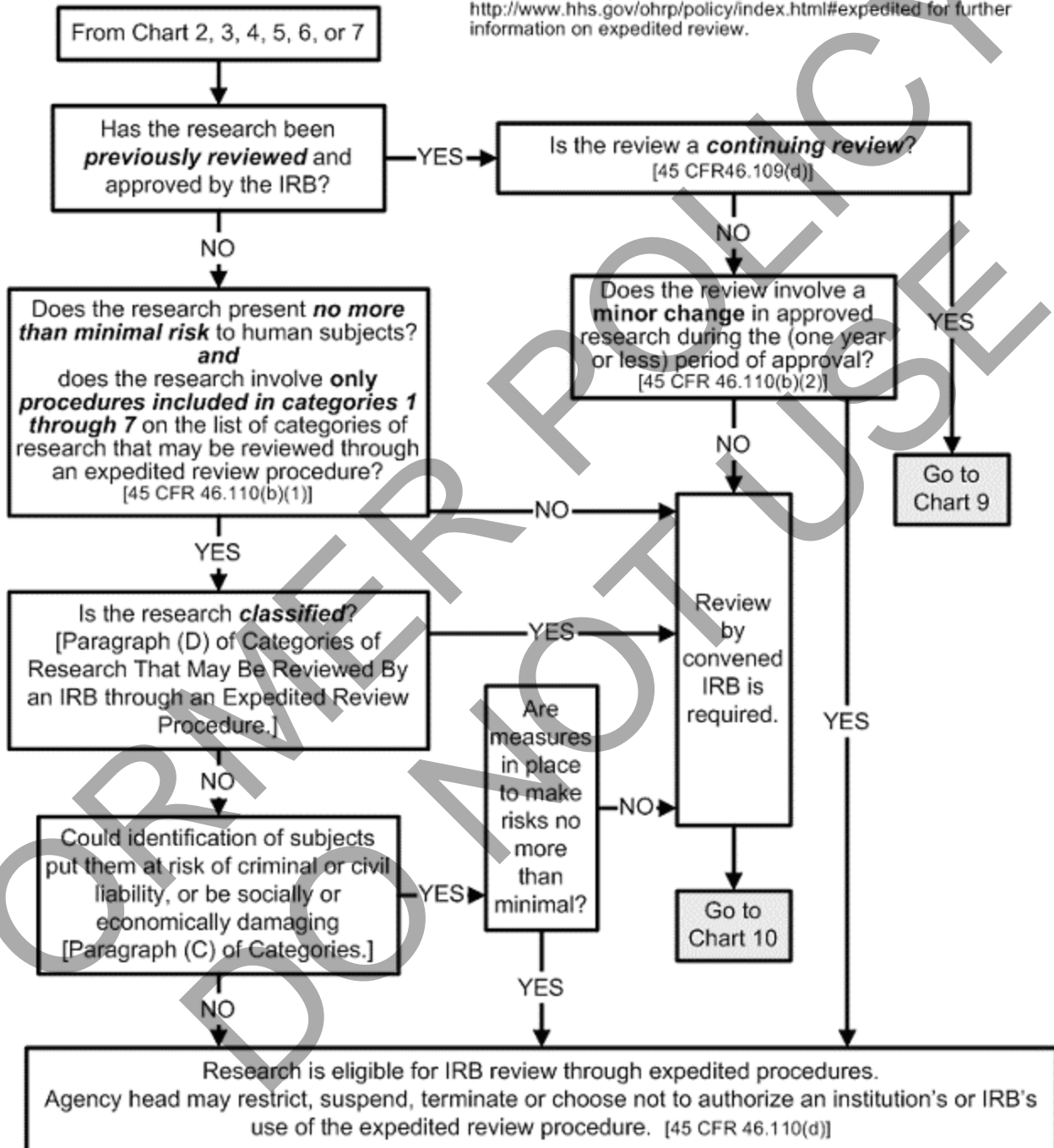
Chart 7: Does Exemption 45 CFR 46.101(b)(6) (for Food Taste and Acceptance Studies) Apply?



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Chart 8: May the IRB Review Be Done by Expedited Procedures Under 45 CFR 46.110?*

* Note: See expedited review categories and OHRP guidance on the use of expedited review procedures at <http://www.hhs.gov/ohrp/policy/index.html#expedited> for further information on expedited review.



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Chart 9: Can Continuing Review be Done by Expedited Procedures Under 45 CFR 46.110?

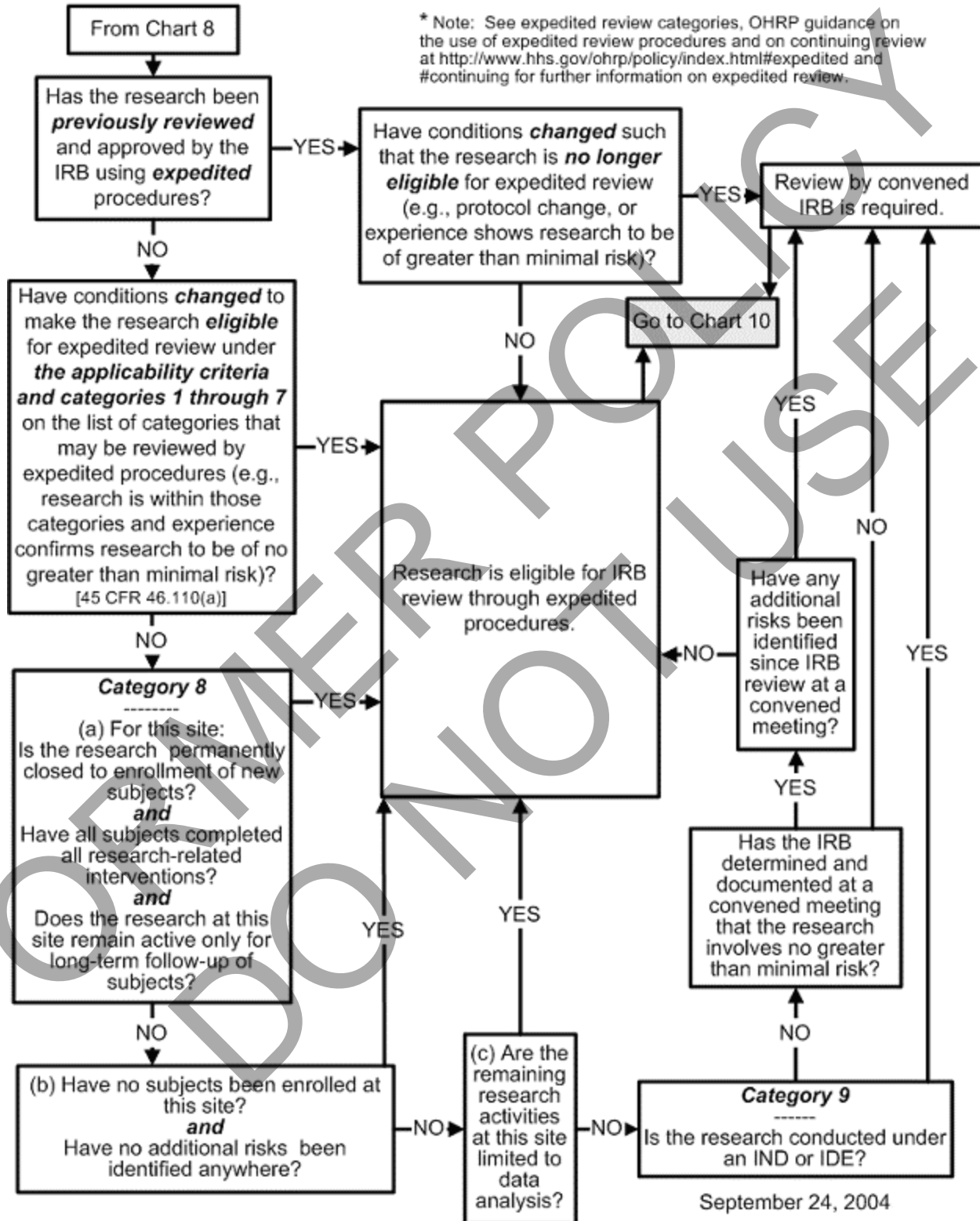
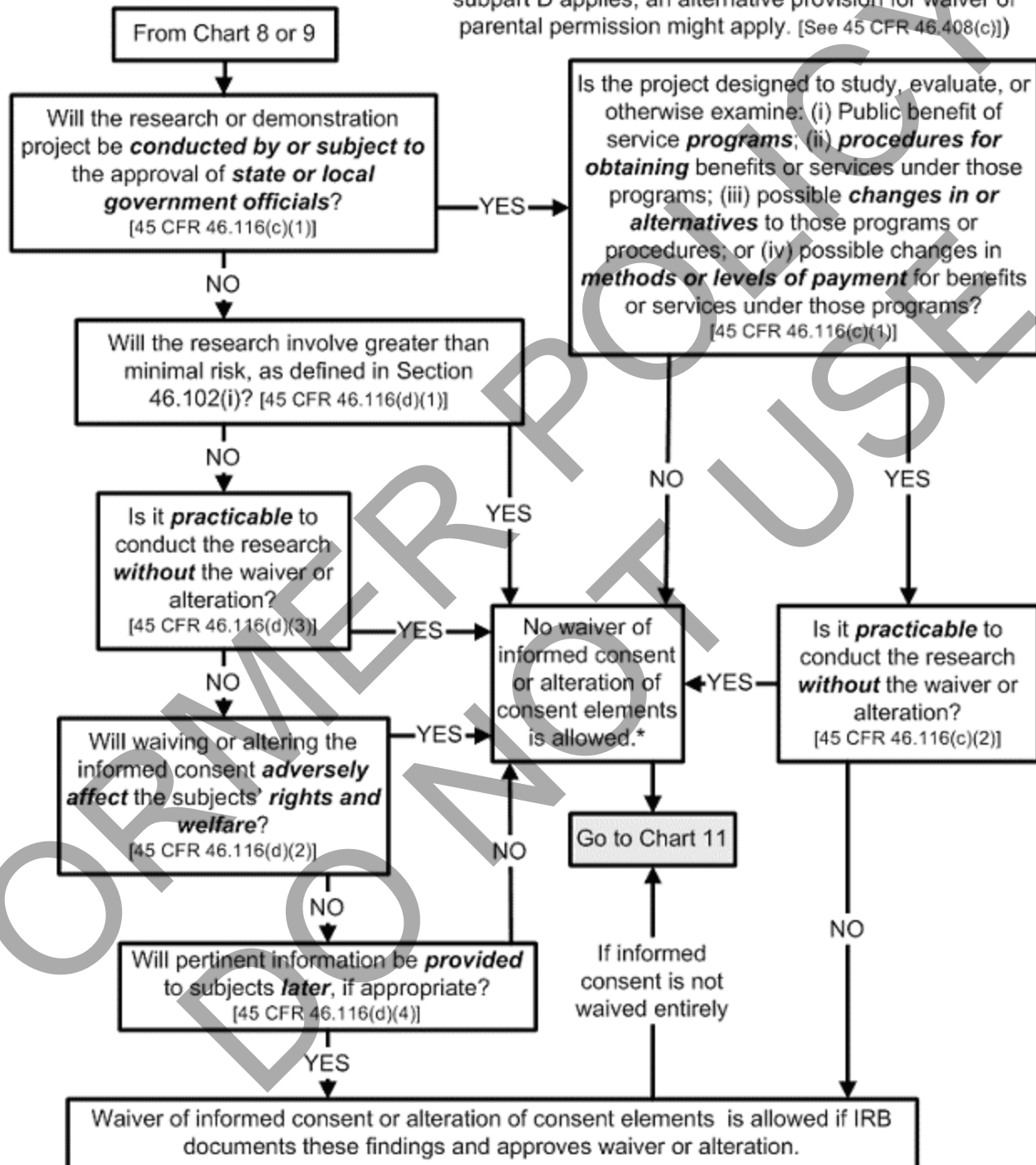


Chart 10: Can Informed Consent Be Waived or Consent Elements Be Altered Under 45 CFR 46.116(c) or (d)?**

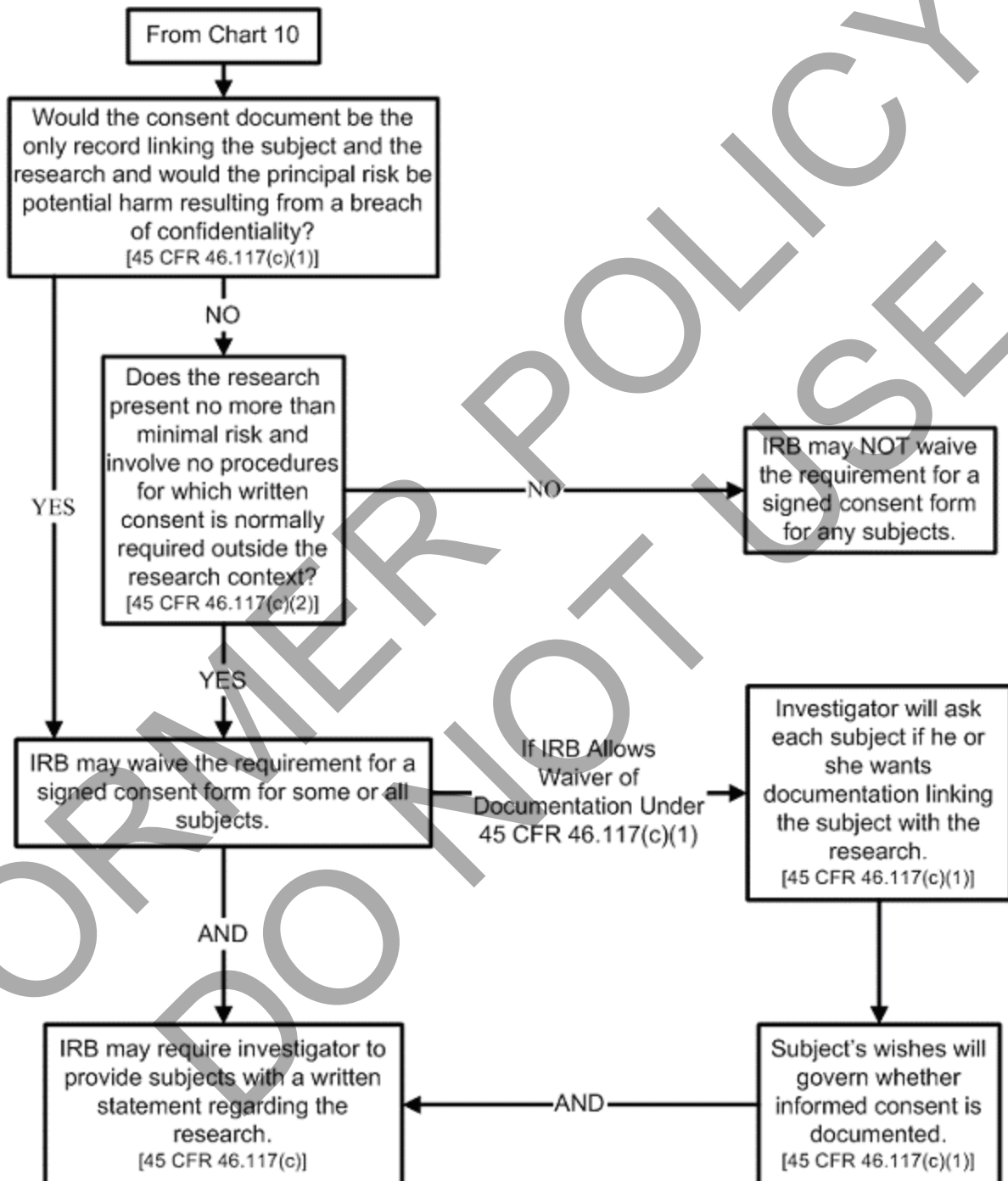
** (Note: If subjects include children to whom 45 CFR part 46, subpart D applies, an alternative provision for waiver of parental permission might apply. [See 45 CFR 46.408(c)])



* Note: See OHRP guidance on informed consent requirements in emergency research at <http://www.hhs.gov/ohrp/policy/index.html#emergency> for further information on emergency research informed consent waiver.

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Chart 11: Can Documentation of Informed Consent Be Waived Under 45 CFR 46.117(c)?



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V. RELEVANT FORMS/LINKS

- [Protecting Human Research Participants Online Training](#)
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VI. QUESTIONS/RESPONSIBLE OFFICE

The responsible office for this Policy is the [Provost/Vice President for Academic Affairs](#). For questions about this Policy, contact the current [Institutional Review Board](#) Director.

VII. POLICY ADOPTION AND AMENDMENT DATES

Date Approved: December 5, 1994

Amended: [January 28, 2005](#); [November 29, 2007](#); [May 3, 2013](#); December 1, 2016